



PATENT
Attorney Docket No.: STEM1110-3

COPY OF PAPERS
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Twardzik, *et al.* Art Unit: Unassigned
Application No.: 09/932,172 Examiner: Unassigned
Filed: August 17, 2001
Title: TGF- α POLYPEPTIDES, FUNCTIONAL FRAGMENTS AND
METHODS OF USE THEREFOR

Commissioner for Patents
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. §§ 1.821(f) and (g)

Sir:

I hereby state, as required by 37 C.F.R. § 1.821(f), that the information recorded in computer readable form is identical to the written sequence listing.

I hereby state that the submission, filed in accordance with 37 C.F.R. § 1.821 (g), herein does not include new matter.

Respectfully submitted,

Date: 11/12/01

Lisa A. Haile, Ph.D.

Reg. No. 38,347

Telephone: (858) 677-1456

Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1100
San Diego, CA 92121-2133

Customer Number: 28213

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, Nov. 13, 2001, in an envelope addressed to:
Box Sequence, Commissioner for Patents, Washington, D.C. 20231.

Stephanie Sherret

Name of Person Mailing Paper

Signature

Date



PATENT
Attorney Docket No.: STEM1810-3

COPY OF PAPERS
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Twardzik, *et al.* Art Unit: Unassigned
Application No.: 09/932,172 Examiner: Unassigned
Filed: August 17, 2001
Title: TGF- α POLYPEPTIDES, FUNCTIONAL FRAGMENTS AND
METHODS OF USE THEREFOR

Commissioner for Patents
Washington, D.C. 20231

VERIFIED STATEMENT UNDER 37 C.F.R. § 1.821(f)

Sir:

I, Mikhail Bayley, declare that I personally prepared the paper and the computer-readable copies of the Sequence Listing filed herewith in the above-entitled case and that the content of both is the same.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of The United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 11/09/2001

Mikhail Bayley
Mikhail Bayley

GRAY CARY WARE & FREIDENRICH LLP
4265 Executive Drive, Suite 1100
San Diego, CA 92121-2133

Customer Number: 28213

| CERTIFICATION UNDER 37 CFR §1.8 | |
|--|----------------------|
| I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>NOV 13, 2001</u> , in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231. | |
| <u>Stephanie Sherrett</u> | |
| Name of Person Mailing Paper | |
| Signature <u>Stephanie Sherrett</u> | Date <u>11/13/01</u> |